



Audit and Risk Management Committee

Date: TUESDAY, 8 MARCH 2016

Time: 1.45 pm

Venue: COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

Members:

Alderman Nick Anstee (Chairman)	Alderman Ian Luder
Nigel Challis (Deputy Chairman)	Kenneth Ludlam (External Member)
Sheriff & Alderman Charles Bowman	Caroline Mawhood (External Member)
Deputy Roger Chadwick (Ex-Officio)	Jeremy Mayhew (Ex-Officio)
Henry Colthurst (Ex-Officio)	Graeme Smith
Hilary Daniels (External Member)	
Revd Dr Martin Dudley	
Alderman Timothy Hailes	
Deputy Jamie Ingham Clark	
Oliver Lodge	

Enquiries: Julie Mayer
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Lunch will be served in Guildhall Club at 1 pm

NB: Part of this meeting could be the subject of audio or video recording

John Barradell
Town Clerk and Chief Executive

AGENDA

Part 1 - Public Agenda

1. **APOLOGIES**
2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**
3. **MINUTES OF THE PREVIOUS MEETING**
To agree the public minutes and non-public summary of the meeting held on 26 January 2016.

For Decision
(Pages 1 - 8)
4. **OUTSTANDING ACTIONS OF THE COMMITTEE**
To note the Committee's Outstanding Actions List.

For Information
(Pages 9 - 10)
5. **COMMITTEE WORK PROGRAMME**
To note the Committee's work plan.

For Information
(Pages 11 - 12)
6. **CORPORATE RISK REGISTER REVIEW**
Report of the Chamberlain.

For Information
(Pages 13 - 50)
7. **DEEP DIVE RISK REVIEW: INFORMATION SECURITY CR16**
An oral update from the Chief Information Officer.

For Information
8. **ANTI FRAUD INVESTIGATIONS**
Report of the Chamberlain.

For Information
(Pages 51 - 58)
9. **ANNUAL GOVERNANCE STATEMENT METHODOLOGY**
Report of the Town Clerk.

For Decision
(Pages 59 - 64)

10. **AUDIT PLANS FOR THE 2015/16 CITY FUND AND PENSION FUNDS FINANCIAL STATEMENTS**
Report of the External Auditor.

For Information
(Pages 65 - 108)

11. **CERTIFICATION OF CLAIMS AND RETURNS 2014/15**
Report of the External Auditor.

For Information
(Pages 109 - 118)

12. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

13. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

14. **EXCLUSION OF THE PUBLIC**

MOTION: That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

For Decision

Part 2 - Non-Public Agenda

15. **NON-PUBLIC MINUTES OF THE PREVIOUS MEETING**
To agree the Non-Public minutes of the meeting held on 26 January 2016.

For Decision
(Pages 119 - 120)

16. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

17. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**